



**EUSALP** EU STRATEGY FOR THE ALPINE REGION

[www.alpine-region.eu](http://www.alpine-region.eu)

# ALP-GOV

*Technical Assistance and Consultancy Service for strategic analysis and design activities under the ALP-GOV Project.*

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80 million people, 7 countries, 48 regions,  
mountains and plains addressing together  
common challenges and opportunities



The project is co-financed by the European Regional Development Fund.

## EUSALP & ALPGOV: MISSION and OBJECTIVE

### EUSALP ACTION GROUP 1

**MISSION:** the development of an effective innovation ecosystem in the Alpine Region

#### SPECIFIC OBJECTIVES

- Identification of the key strategic sectors where cooperation in R&I can impact either in economic or societal terms
- Mapping of existing clusters, competence centres related to the economic and academic landscape in the Region
- Mapping and matching of specific value chains and capabilities related to key areas of competence related to national/regional smart specialisation strategies
- Working towards joint pilots with industry participation in areas of smart specialisation to demonstrate opportunities for scaling up innovations for co-creating joint value chains
- Further coordinating efforts between the Alpine countries and JRC activities
- Enhanced capacity building of research institutions, networks and infrastructure with an Alpine Region dimension
- Taking into consideration existing international research / innovation coordination activities of EUSALP

### ALP GOV

**MISSION:** supporting a joint approach for the implementation of EUSALP strategy

#### SPECIFIC OBJECTIVES

- Support the operation of EUSALP Action Group;
- Harmonize and share content and knowledge of common interest;
- Monitor processes and results (through the "Platform of Knowledge"), map and analyze the current situation at a macro-regional level with regard to institutional frameworks, actors in the territories and funding available for projects and actions;
- Support governance in a macroregional perspective;
- Analyze the state of the art and capitalize on existing heritage and assets and good practices;
- Identifying and promoting high leverage projects and actions.

**AG1 FOCUS: Research and Innovation**

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### MAIN ACTIVITIES

1. Data collection, comparative analysis, mapping and graphical/infographic representation of the framework of funding instruments, available in the field of Research and Innovation in EUSALP area;
2. Identification of funding programmes and actions useful to implement the objectives of the Action Group 1;
3. Identification, facilitation and support in the regional, national and international strategic relations within the framework of EUSALP Action Group 1 activities;
4. Support to the identification, promotion and development of project ideas in strategic areas for the Action Group 1 objectives

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### OUTPUTS

- I. Database with synthetic fiche (integrated within the Platform of Knowledge) of all financial instrument for R&I available at EU, National, regional level + infographic
- II. Calendar with synthetic fiche of available open call of all financial instrument of the database
- III. Database with list of key actors in the field of R&I in EUSALP with descriptive and graphical representation of strategic relations and input in Knowledge of Platform.
- IV. Support to the definition of 5 project proposals compliant with AG1 objectives to be structured according to the Logical Framework approach with preliminary needs and individual context analysis, and to structuring of the project idea through definition of overall and specific objectives, expected results, actions and activities, target groups, outputs, deliverables

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## FINANCIAL INSTRUMENT FOR R&I DATABASE

➤ Each funding tool/ programme will contain following items with reference to 2014-2020 Programming period:

- Programme Name and web link
- Level (EU, national, regional)\*
- Eligible areas (NUTS 1,2,3)
- Managing authority
- Short objectives description
- Eligible thematic in line with ERC\*
- Eligible thematic in line with and S3\*
- Actions typologies\*
- Total available budget
- Beneficiaries and type of eligible institutions\*
- Programme contribution (%)
- Min and Max project budget
- Min and Max project duration
- Link to funded projects under each Program
- Link to events organized by each Program

\* Field has been standardized for search and filter by a web search engine; other fields are descriptive

### PROGRESS and DELIVERIES

- “State of the art” of funding instruments at EU level; graphical representation – 30.06.2017
- Report on funding instrument at national level (7 countries); graphical representation – 30.12.2017
- Report on funding instruments at regional level (48 regions); graphical representation – 30.06.2018
- Report on regional, national and European funding instruments; comparative analysis of the instruments and GAP analysis – 30.12.18

➤ Data will also allow a final comparative analysis and GAP analysis among the EUSALP regions.

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## OPEN CALL CALENDER

- For each funding instrument/programme analyzed in activity 1, open/opening call for proposals will be identifies, with particular attention to programmes that promote mixed partnerships based on triple/quadruple helix;
- Detailed report, containing following information:
  - Title/general and specific objectives for identified call for proposal;
  - Matching Action Group 1 objectives and call for proposal objectives;
  - Expected results and their indicators;
  - Actions/activities listed within terms of reference;
  - Target group and beneficiaries
  - Budget

### PROGRESS and DELIVERIES

- 30.06.17 – agenda of open calls at EU level financial instruments
- 30.07.17 - 30.11.17 agenda monthly update
- 30.12.17 – agenda of open calls at national level financial instruments + EU level update
- 30.01.18 – 30.05.18 agenda monthly update
- 30.06.18 - agenda of open calls at regional level financial instruments + EU and National level update

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## ACTIVATION OF STRATEGIC RELATIONSHIP

➤ **Development of the database of the stakeholders / key actors of R&I in EUSALP to exchange information with them and to identify possible synergies**

- **Definition of the list of stakeholders / key actors:**
- **For each stakeholders / key actors will be developed a short descriptive card**
  - ✓ **Name – country – region**
  - ✓ **Type of institution (public body – industry – research)**
  - ✓ **Type of interesting action to be developed**
  - ✓ **Main field of interest**
  - ✓ **Nr of Researcher**
  - ✓ **Main project / research programme developed**
  - ✓ **Main R&I partners**
  - ✓ **Main regional and local Financial Instrument used**
  - ✓ **Main contacts**

### PROGRESS and DELIVERIES

- **First lists of R&I stakeholders -key actors 30.06.17**
- **Report and graphical representation of strategic relations activated in the field of Research and Innovation –  
31.12.2017;  
30.06.2018;  
31.12.2018;  
30.06.2019**

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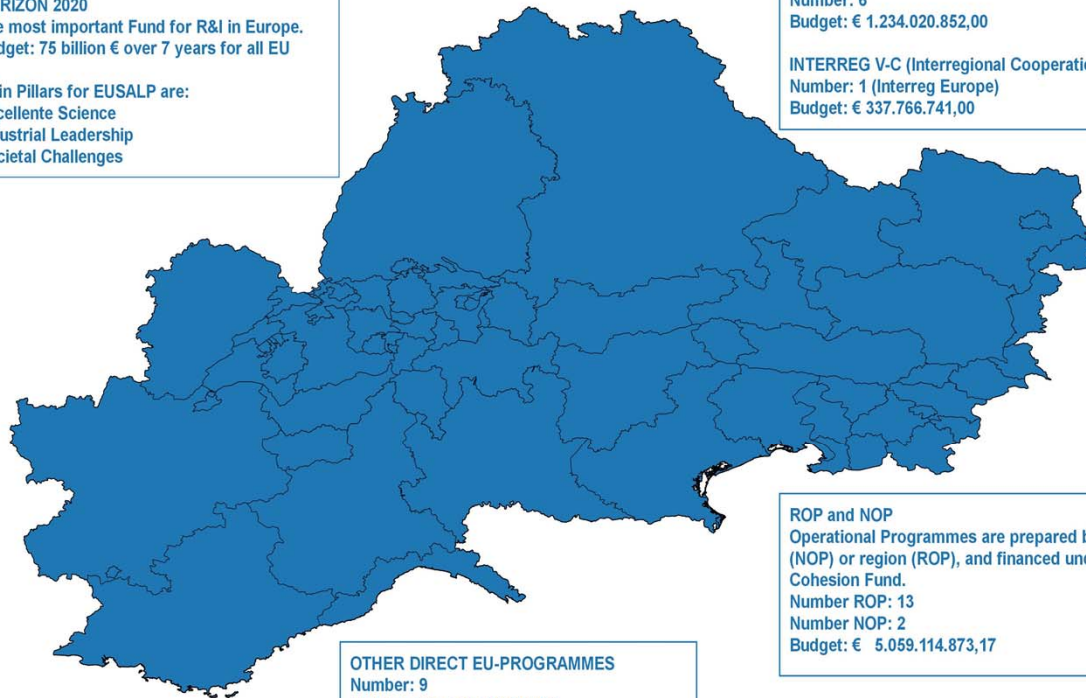


## EUSALP & ALPGOV

Population: 80 million  
7 Nations  
48 Regions

**HORIZON 2020**  
The most important Fund for R&I in Europe.  
Badget: 75 billion € over 7 years for all EU

Main Pillars for EUSALP are:  
Excellente Science  
Industrial Leadership  
Societal Challenges



**INTERREG Programme**  
It is funded by ERDF for the cooperation between european regions.  
Number: 23  
Budget: € 2.686.532.460,00

**INTERREG V-A (CB-Cooperation)**  
Number: 16  
Budget: € 1.456.270.352,00

**INTERREG V-B (Transnational Cooperation)**  
Number: 6  
Budget: € 1.234.020.852,00

**INTERREG V-C (Interregional Cooperation)**  
Number: 1 (Interreg Europe)  
Budget: € 337.766.741,00

**ROP and NOP**  
Operational Programmes are prepared by each Member State (NOP) or region (ROP), and financed under ERDF or the Cohesion Fund.  
Number ROP: 13  
Number NOP: 2  
Budget: € 5.059.114.873,17

**OTHER DIRECT EU-PROGRAMMES**  
Number: 9  
Badget: € 24.844.871.274,00  
Type of Fund: Grants and Loans

## FIRST RESULTS: ACTIVITY 1 - FINANCIAL INSTRUMENT AT EU-LEVEL

Currently we have mapped 48 programmes at EU-Level that concerning R&I in wide meaning. Depending on the characteristics of the funds, they were clustered in four categories



## 1. HORIZON 2020

H2020 offers a series of funds, setting in three main pillars:

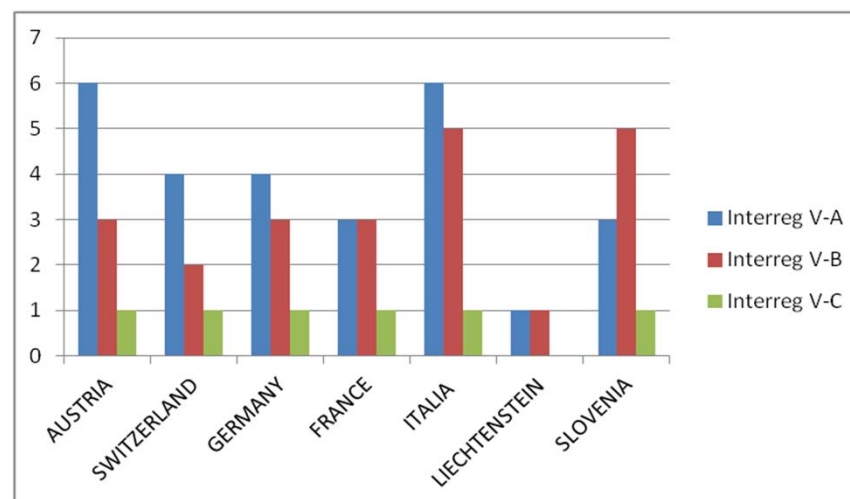
- I. Excellent Science* has the aim to extend the excellence of the Union's science base and to consolidate the European Research Area in order to make the Union's research and innovation system more competitive on a global scale.
- II. Industrial Leadership*, increasing Europe's industrial leadership through research, technological development, demonstration and innovation and help innovative European SMEs to grow into world-leading companies.
- III. Societal Challenges*, a challenge-based approach will bring together resources and knowledge across different fields, technologies and disciplines, including social sciences and the humanities, for this analysis we mapped each challenge defined in this pillar.
  - Health, demographic change and wellbeing;
  - Food security, sustainable agriculture and forestry, marine and maritime and inland water research, and the Bioeconomy;
  - Secure, clean and efficient energy;
  - Smart, green and integrated transport;
  - Climate action, environment, resource efficiency and raw materials;
  - Europe in a changing world - inclusive, innovative and reflective societies;
  - Europe in a changing world - inclusive, innovative and reflective societies;
  - Secure societies - protecting freedom and security of Europe and its citizens

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## 2. INTERREG PER EACH EUSALP MS

Interreg for each EUSALP M	Total	Interreg V-A	Interreg V-B	Interreg V-C
AUSTRIA	10	6	3	1
SWITZERLAND	7	4	2	1
GERMANY	8	4	3	1
FRANCE	7	3	3	1
ITALIA	11	6	5	1
LIECHTENSTEIN	2	1	1	
SLOVENIA	8	3	5	1



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## 2a. INTERREG V-A

Name	Total Program Budget
INTERREG V-A France - Germany - Switzerland (Rhin supérieur-Oberrhein)	€ 103.122.668,00
INTERREG V-A France - Italy (ALCOTRA)	€ 186.943.708,00
INTERREG V-A France-Switzerland*	*€ 61.937.075,00
INTERREG V-A Austria - Hungary	€ 74.117.008,00
INTERREG V-A Austria - Germany / Bavaria (Bayern - Österreich)	€ 51.209.381,00
INTERREG V-A ABH - Alpenrhein - Bodensee - Hochrhein	€ 36.817.240,00
INTERREG V-A - Austria - Czech Republic	€ 91.946.038,00
INTERREG V-A Austria - Slovakia	€ 71.339.121,00
INTERREG V-A Germany / Bavaria - Czech Republic	€ 97.172.640,00
INTERREG V-A Italy - Slovenia	€ 73.254.157,00
INTERREG V-A Italia - Austria	€ 77.304.535,00
INTERREG V-A Italy - Croatia	€ 189.275.787,00
INTERREG V-A Italy - France (Maritime)	€ 159.520.267,00
INTERREG V-A Italy - Switzerland	€ 94.208.179,00
INTERREG V-A Slovenia - Austria	€ 44.988.355,00
INTERREG V-A Slovenia - Croazia	€ 43.114.193,00

**Total Interreg V-A Budget in  
 EUSALP Region  
 € 1.456.270.352,00**

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Development Fund.

## 2b. INTERREG V-B

Name	Total Program Budget
INTERREG VB North West Europe	€ 372.366.282,00
INTERREG VB Danube	€ 208.298.873,00
INTERREG VB Central Europe	€ 231.786.426,00
INTERREG VB Alpine Space	€ 109.637.339,00
INTERREG VB Adriatic - Ionian	€ 92.648.553,00
INTERREG VB Mediterranean	€ 219.283.379,00

**Total Interreg V-B Budget in  
 EUSALP Region  
 € 1.234.020.852,00**

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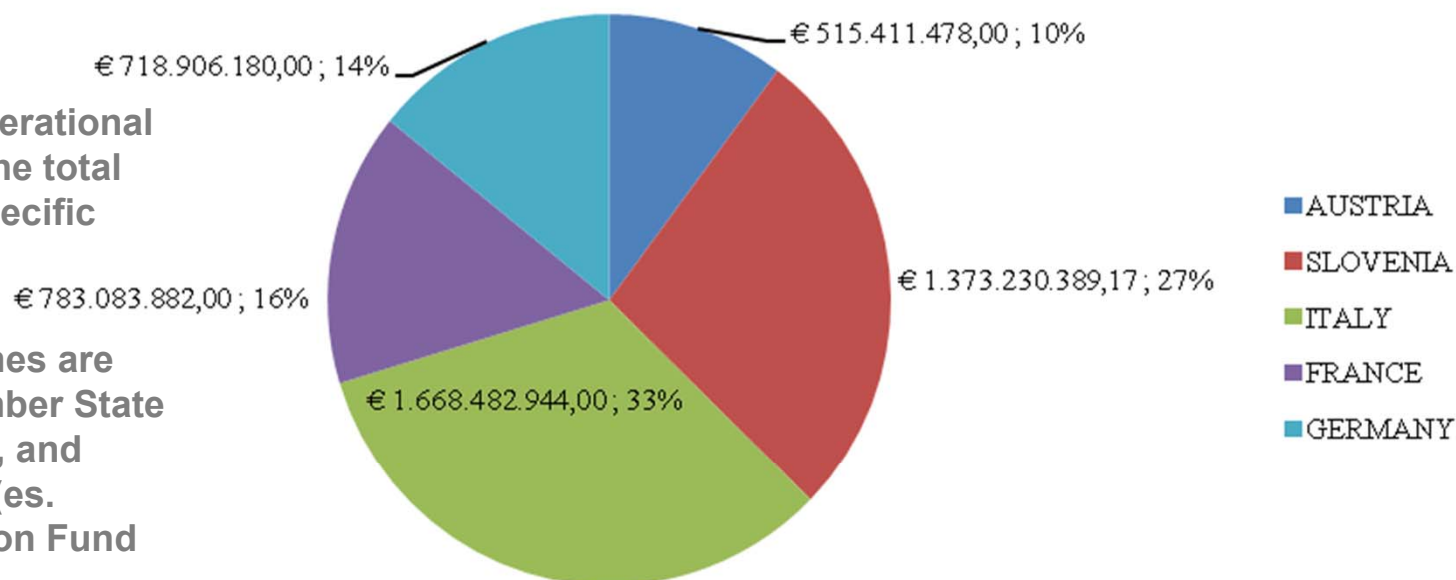
### 3. NATIONAL/REGIONAL – OPERATIONAL PROGRAMMES

The Operational Programmes aim to reduce regional disparities.

The budget of each operational programme refers to the total amount and not the specific area of R&I.

Operational Programmes are prepared by each Member State (NOP) or region (ROP), and financed under ERDF (es. Austria) or the Cohesion Fund (es. Slovenia).

**PON/POR Budget for each EUSALP Country  
(€ and %) (No Fund for Switzerland and Liechtenstein)**



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## 4. OTHER DIRECT EU-PROGRAM

Name	TOTAL PROGRAM BUDGET
<b>3rd HEALTH PROGRAM</b>	€ 449.394.000,00
<b>LIFE PROGRAM</b>	€ 3.456.655.000,00
<b>EIB - EUROPEAN INVESTMENT BANK</b>	n.a*
<b>ENI-CBC MED</b>	€ 188.152.030,80
<b>COSME</b>	€ 2.298.243.000,00
<b>CEF - TELECOM</b>	€ 1.141.602.000 ,00
<b>ISA<sup>2</sup></b>	€ 130.928.000,00
<b>Development Cooperation Instrument - DCI II</b>	€ 19.661.639.000,00
<b>Partnership Instrument for cooperation with third countries - PI</b>	€ 954.765.000,00
<b>Total Other EU-Programs</b>	€ 28.281.378.030,00

\* It's not reported a specific amount, but we highlight that Audit Committee Annual Report (2016) defines as at the end of 2016 outstanding debt securities in issuance held by the EIB's investors amounted to EUR 485 bn, while disbursed loans amounted to EUR 471 bn. It is a multiannual cumulated data referred at the end of 2016, but it is important to define the size of potential loans in EUSALP region.

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## The First Project Idea: The Challenge

- Alpine Region is one of the most innovative places in Europe, but it is affected by limited joint research on topics of specific Alpine importance and limited common vision and effort to transfer the main results of R&I into strategies and policies at the transnational level.
- The project will address the opportunity to set up a common Alpine Regional framework within which an agreement on several R&I topics can be promoted as a basis for a joint Alpine Region S3 strategy.
- Therefore Interreg Alpine Space, Programme priority Priority 4 - Well-Governed Alpine Space, Programme priority specific objective SO4.1 - Increase the application of multilevel and transnational governance in the Alpine Space
- Therefore

### **A-RING “Alpine Research and INnovation Capacity Governance”**

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## The First Project Idea: «A-RING» EOI AS

- The Alpine Research and Innovation Capacity Governance (A-RING) project proposes an innovative **bottom-up process to align R&I strategies and objectives among AR PAs**, gathering expectations of business communities and innovation potential from universities and research centres.
- A-RING's results will ensure:
  - improved transnational multilevel governance by the definition of **roadmap for a common S3 strategy** to promote a sustainable development in AS
  - improved dialogue of PAs with academic and business communities to **define a R&I agenda** involving all the research and innovation “chain” in AS area
  - more targeted research and innovation activities to better meet the socio-economic development needs of Alpine Region (AR), **increased institutional capacity building of PAs to define more effective R&I policies**.
- The harmonization of policies will be supported by the setup of a **permanent multi-stakeholder Alpine R&I Capacity think tank**.

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## A-RING Partnership

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1. University of Milan (LEAD PARTNER) - IT
2. Slovenian Ministry of education, science and sport - SI
3. University of Ljubljana - SI
4. Steinbeis Technology Transfer Ltd - AT
5. Éupolis Lombardia - Institute for research, statistics and training - IT
6. Union of the Chambers of Commerce, Industry, Agriculture and Crafts of Lombardy - IT
7. University of Lugano - CH
8. National Union of Mountain Municipalities, Communities and Authorities – Piedmont Delegation - IT
9. University of Kempten - DE

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## Project Specific Objectives

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A-RING outputs will be aimed:

- To increase the skills of PAs responsible for regional and national research and innovation policies
- To ensure a shared awareness on the R&I strategic positioning of alpine territories enhancing the stakeholder participation
- To anticipate and fast-track any necessary regulation in AR promoting a joint S3 focused on the alpine sustainable development

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## Project Main Activities

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### WPT1 Sharing Knowledge :

- T1.1: Collection of data, collection of best practices related to regional implementation of S3 strategy – capitalization of the existing Best practices
- T1.2: Training actions (training material, courses, study visit, etc.):
  - 1) how to write the policies;
  - 2) how to communicate with RC and Company;
  - 3) How to create local and regional political engagement and commitment for Smart Specialisation Strategy development and implementation with transnational activities

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## Project Main Activities

### WPT2 R&I Ecosystem

- T.2.1: Virtual Open science LAB: integration of existing platforms at regional level (R&I stakeholders), link to PoK ALPGOV and other JRC tools to promote the partnership on research and innovation – mapping of business angels - Definition of transnational communities defined by topic
- T.2.2: Think Tank: representatives of universities research centres, business sector and PA – selection of Scientific Committee to validate roadmap and Research topics agenda

### WPT3 R&I Pattern

- T.3.1: Definition of a Roadmap through the involvement of the S3 platform stakeholders to build a fast track for AR S3;
- T.3.2: Research Agenda: selection of topics to include in the AR S3
- T.3.3: MoU to collect the commitment of the relevant stakeholder to define an AR S3

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***Grazie !***

**ALP-GOV**

<http://www.alpine-space.eu/projects/alpgov/en/home>

**Interreg**  
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Implementing Alpine Governance Mechanisms of the EU Strategy for the Alpine Region

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